



Minimizing Total Resource Tardiness Penalty Costs in the Resource Constrained Project Scheduling Problem with Metaheuristic Algorithms

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ABSTRACT

In this paper, we study a resource-constrained project-scheduling problem in which the objective is minimizing total Resource Tardiness Penalty Costs. We assume renewable resources that are limited in number, are restricted to very expensive equipment and machines, therefore they are rented and used in other projects, and are not available in all project periods. In other words, there exists a predefined ready-date as well as a due date for each renewable resource type. In this way, no resource is utilized before its ready date. Nevertheless, resources are allowed to be used after their due date by paying penalty costs depending on the resource type. The objective is to minimize the costs of renewable resource usages. We formulated and mathematically modeled this problem as an integer-Linear programming model. Since our problem is NP-hard and also exact methods are only applicable in small scale, therefore metaheuristic methods are practical approaches for this problem; this means that metaheuristics are better for this problem. In order to authenticate the model and solution algorithm in small scale, we consider a network with low activity, and then solve the model of this network with both exact algorithms and SA-GA-TS metaheuristic algorithms. For more activities, as well as getting closer to the real world, we present a Simulated Annealing Algorithm to solve this problem. In order to examine the performance of this algorithm, data that had been derived from studied literature were used, and their answers were compared with Genetic Algorithm (GA) and Tabu Search Algorithm (TS). Results show that in average, quality of SA answers was better than those of the GA and TS algorithms. In addition, we use relaxation method to achieve an even higher validation for the SA algorithm. Finally all results in this paper indicate that both model and solution algorithm have high validity.

1. Introduction

Resource Constrained Project Scheduling Problem (RCPSP) is one of the most important issues in the areas of project scheduling and combinatorial optimization. RCPSP includes a project that has a number of specific activities with certain durations. RCPSP includes two constraints, precedence constraints in which technical precedence relation between activities is finish to start, and the other, resource constraints. The objective here is to minimize project

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makespan. In RCPSP, While an activity is being executed, preemption is not permitted (i.e. once started, an activity should be continued until it is completed). It is shown in Blazewicz, Lenstra, and Rinnooy-Kan [1] that the RCPSP, as a job-shop generalization, is NP-hard in the strong sense. Several solution procedures have been presented in the literature. They can be classified into three categories: exact methods such as works of Demeulemeester and Herroelen [2], Mingozi et al. [3], and Patterson et al. [4], which mainly make use of various branch-and-bound procedures; heuristic methods based on the serial and the parallel schedule generation schemes of Boctor [5], Kolisch, and Drexl [6]. Finally, metaheuristic methods based on Tabu search from Baar et al. [7], Nonobe and Ibaraki [8], simulated annealing of Bouleimen and Lecocq [9], Cho and Kim [10], and genetic algorithms from Alcaraz and Maroto [11], Alcaraz et al. [12], Hartmann [13], Mendes et al. [14], and Valls et al. [15]. Surveys on several other solution procedures can be found in works of Demeulemeester and Herroelen [16]. The RCPSP under minimization of total resource tardiness penalty costs is an applicable problem, and a modified version of the RCPSP in which all assumptions and constraints are maintained, but the objective function is different. Moreover, several exact, heuristic and metaheuristic algorithms are proposed for scheduling problems with objective function related to tardiness. Nadjafi and Shadrokh [17] developed a B&B algorithm for the weighted earliness–tardiness project-scheduling problem using generalized precedence relations. Liaw, Lin, Cheng, and Chen [18] developed a B&B algorithm for scheduling unrelated parallel machines for minimizing total weighted tardiness. Essafi, Yazid, and Dauzère-Pérès [19] proposed a genetic local search algorithm for minimizing total weighted tardiness in the job-shop scheduling problem. Bilge, Kiraç, Kurtulan, and Pekgün [20] developed a Tabu search algorithm for solving the parallel machine total tardiness problem. In addition, Bilge, Kurtulan, and Kiraç [21] presented a Tabu search algorithm for the single machine total weighted tardiness problem. Bianco, Dell’Olmo, and Speranza [22] referred to resources that can be assigned to only one activity at a time in dedicated form. However, in this article, we assume that only a few renewable resources exist including expert human resources with high skill levels, particular types of cranes, and tunnel boring machines that have to be leased from third party providers. Considering that these limited renewable resources are employed in other projects, there is a dictated ready-date as well as a due-date for each item, such that no resource can be accessible before its ready-date; however, these resources are allowed to be used after their due dates by paying penalty cost, depending on the resource type. Ranjbar et al. [23] studied this problem with single mode for each activity, and availability of one unit for each type of renewable resource, in which they used the exact method of “branch and bound” in order to solve the problem. The problem we studied here is a generalization of the problem introduced by Ranjbar et al., with the difference that, the assumption that only one unit of each resource type is available, and no activity needs more than one resource for execution has been removed. In addition, we used metaheuristic algorithm to solve the problem. Since our problem with this profile is unique and is introduced for the first time, and considering that the optimal solution could not be found in the literature review, therefore we used different validation approaches. The rest of this article is organized as follows. Problem modeling and formulation are provided in Section 2.

The computational Study is presented in Section 3. Finally, conclusions are given in Section 4.

2. Problem Modeling and Formulations

In this article, we introduce a resource-constrained project-scheduling problem with finish-to-start precedence relations among project activities, considering renewable resource tardiness penalty costs. For each project, n activities and R renewable resources are given. R_k is the availability of each renewable resource. The duration of an activity i is given as d_i . Activity j requires r_{jk} units of renewable resource k . Our model is presented using an activity-on-node (AON) network. Thus, there are two dummy activities, first activity and the last activity (0 and $n+1$), which denote start and end of the project, respectively. The dummy start and end activities have zero duration and zero resource consumption. It should be noted that for each renewable resource K, ρ_k, δ_k , and p_k show the ready date, due date, and tardiness penalty cost of this renewable resource, respectively. In order to embed the resource release dates in the network, one dummy node corresponding to each resource $k, k=1 \dots R$, is added to the project network. This node displays an activity with duration ρ_k with no resource requirements, which is a direct successor of the start dummy activity and direct predecessor of every activity $i \in N_k$ where N_k is a set of activities that need a number of renewable resources of $K \in R$ type for execution. Each type of limited renewable resource is leased for a fixed period, starting from its ready time, and ending with its due-date, and is not available before its ready time; however it can be used after its due-date provided a tardiness penalty cost is paid. All activities are ready at the beginning of the project, and no preemption is permitted. We define the problem parameters as follows:

n : Number of project activities

R : Number of renewable resources

R_k : Renewable resource k availability

ρ_k : Ready time of renewable resource k

δ_k : Due date of renewable resource k

P_k : Tardiness penalty cost of renewable resource k for each period

Pr_j : Set of predecessors of activity j

d_j : Duration of activity j

r_{jk} : Renewable resource k requirement for executing activity j

T_k : Is the renewable resource k tardiness, determined by $T_k = \max \{CP_k - \delta_k, 0\}$, where CP_k is the release time of resource k in the project and equal to $CP_k = \max \{f_i\} i \in N_k$.

(Earliest) finish time that is shown with f_i , ($f_i = s_i + d_i$), where s_i is an integer and shows the start time of activity i . The integer linear programming model for this problem can be formulated as follows:

$$\min z = \sum_{k=1}^R P_k T_k \quad (1)$$

s.t.

$$CP_k \geq S_i + d_i \quad i \in N_k \quad k=1,2,\dots,R \quad (2)$$

$$T_k \geq CP_k - \delta_k \quad k=1,2,\dots,R \quad (3)$$

$$T_k \geq 0 \quad k=1,2,\dots,R \quad (4)$$

$$S_j - S_i \geq d_i \quad j=1,2,\dots,n \quad i \in Prj \quad (5)$$

$$\sum_{j \in A(t)} r_{jk} \leq R_k \quad k = 1, 2, \dots, R \quad (6)$$

$$S_i \geq \rho_k \quad i \in N_k \quad k=1,2,\dots,R \quad (7)$$

$$S_i, CP_k, T_k \in N^+ \quad \text{for } i=0,1,\dots,n+1 \quad (8)$$

In the above model, objective function (1) represents the minimization of the total weighted resource tardiness penalty costs. It should be noted that as the cost of leasing for each renewable resource is fixed, it does not need incorporation in the objective function. Constraint (2) shows that the release time of each resource is not less than the finish time of each activity, which requires that resource. Constraint sets (3) and (4) ensure that T_k is equal to $\max \{CP_k - \delta_k, 0\}$. Constraint (5) is the precedence constraint implying that start time of activity j must be after all its predecessors are finished. Constraint (6) is the renewable resource constraint, where $A(t)$ is the set containing in-progress activities at time t . Constraint (7) makes the starting times of all activities greater than or equal to the release dates of their corresponding resources. Constraint (8) ensures that variables S_i , CP_k and T_k are non-negative integers. For validation of the model, and validation of the proposed SA solution, we considered a small network as a case example. Table 1 shows the resource information, which in relation to our example with $n=7$ real activities, $m=2$ resources and the corresponding graph is depicted in Figure 1. In this figure, the number shown above each node indicates activity duration and the number (s) below indicates the resources required for activity execution. The nodes labeled α and β correspond to ready times of resources 1 and 2, respectively. We also solved the model of this example using exact solution as well as SA algorithm, which both of their objective functions are shown in Table 2. As we can see in this table, in order to evaluate SA's algorithm performance we solved the model relevant to this network using TS and GA, which resulted in a similar objective function value.

Table 1. Resource information of the example project.

	Resource Availability	Ready date	Due date	Penalty cost	N_k
Res 1	4	2	11	14	{1,2,4,6}
Res 2	5	3	10	11	{3,5,7}

Table 2. Comparison between performance of exact and metaheuristic algorithms for small network

Exact Solution	SA	TS	GA
14	14	14	14

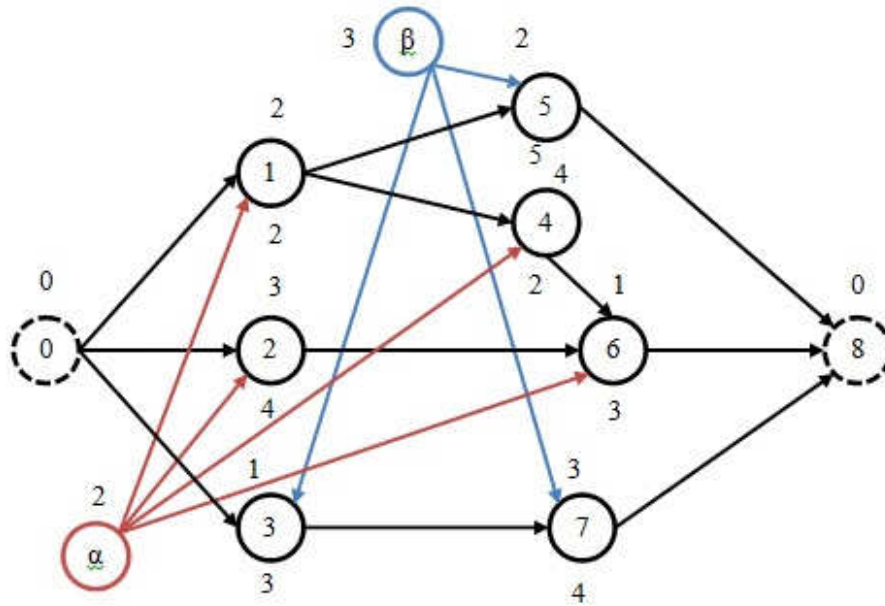


Figure 1. Network of the example project

3. Computational Study

The aim of this section is to evaluate the performance of SA approach by comparing it with GA and TS. The performance is appraised according to the objective function value quality. The solver applied in the study was MATLAB (2009) and cplex 12.2, run with a machine equipped with Windows 7, Intel (R) Core (TM) 2 with 2.53 GHz processor, and 3 GB of RAM.

3.1. Test problem

We used the sample problems library of PSPLIB [24] and selected two sets of project scheduling problems (i.e. j30 and 60). These data do not include some of our model parameters such as penalty cost of renewable resources, and ready time of renewable resources etc. hence; in this article we have employed discrete uniform distribution in selecting these parameters. The unit penalty costs of renewable resource tardiness were randomly chosen from discrete uniform distribution (10, 30). The ready times of renewable resources were randomly generated from discrete uniform distribution (0, 15), and the renewable resources due dates were randomly picked from discrete uniform distribution:

$$\sum_{j=1}^n t_j/5, \sum_{j=1}^n t_j/3$$

Moreover, the algorithms run in 20 iterations.

3.2. Performance of the Proposed Algorithm

In order to evaluate performance of the SA algorithm, the algorithm was implemented for the introduced test data. Obtained results were compared with results of the GA and TS algorithms. Comparison results are given in Tables (3) and (4), which are presented for j30 and j60 data, respectively. The first column of the table shows the Data Name. The ending

section of the Data Name, which is in number form, represents the number of random parameter productions that do not constitute the data collection, and were randomly produced according to the previous section method. In fact, for each problem, parameters are randomly produced three-times. Columns 2, 3 and 4 show the name of the metaheuristic algorithm. Each of these columns comprises four other columns, in which the first column shows the minimum result obtained from five repetitions. Columns 2, 3, and 4 represent average, maximum, and standard deviation, respectively. The last row of this table displays result averages for simpler and better comparison. Figure (2) and Figure (3) represent performance difference between the three algorithms for the utilized data for j30 and j60 respectively. As can be seen, the vertical axis is objective function value, and the horizontal axis is data. In the next section, we will provide descriptions of obtained results.

Table 3. Comparison between performance of SA, TS and GA for J30

Name	TS				SA				GA			
	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev
j301_1.1	563	563	563	0	563	563	563	0	563	563	563	0
j301_1.2	515	515	515	0	515	515	515	0	515	515	515	0
j301_1.3	289	289	289	0	289	289	289	0	289	289	289	0
j305_2.1	1150	1178.53	1244	24.53	1150	1150	1150	0	1150	1157.08	1235	24.53
j305_2.2	1234	1245.63	1275	8.64	1234	1234	1234	0	1234	1239.75	1257	10.40
j305_2.3	1097	1112.42	1143	15.63	1034	1034	1034	0	1034	1041.75	1127	26.84
j3010_1.1	733	733	733	0	733	733	733	0	733	733	733	0
j3010_1.2	821	821	821	0	821	821	821	0	821	821	821	0
j3010_1.3	538	538	538	0	538	538	538	0	538	538	538	0
j3015_1.1	1109	1109	1109	0	1109	1109	1109	0	1109	1109	1109	0
j3015_1.2	1117	1117	1117	0	1117	1117	1117	0	1117	1117	1117	0
j3015_1.3	938	938	938	0	938	938	938	0	938	938	938	0
Average	842	846.63	857.08	4.07	836.75	836.75	836.75	0	836.75	838.47	853.5	5.15

Table 4. Comparison between performance of SA, TS and GA for J60

Name	TS				SA				GA			
	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev
j601_1.1	547	564.43	587	12.95	547	547	547	0	547	554.5	572	12.07
j601_1.2	204	212.52	276	23.63	195	195	195	0	195	198.7	210	6.32
j601_1.3	486	498.52	524.69	18.35	486	486	486	0	486	495.2	532	19.39
j605_1.1	1736	1826.46	2016	27.42	1616	1621.75	1639	10.40	1639	1765.30	1872	21.27
j605_1.2	578	615.53	626	21.63	489	500.41	578	20.31	578	589.6	606	17.71
j605_1.3	793	838.42	1153	42.74	649	658.83	767	34.06	837	934.53	1023	19.38
j6010_1.1	1953	1989.30	2035	21.53	1903	1910.32	1926	21.42	1926	1954.3	1995	7.23
j6010_1.2	758	758	758	0	758	758	758	0	758	758	758	0
j6010_1.3	1133	1133	1133	0	1133	1133	1133	0	1133	1133	1133	0
j6015_1.1	2177	2177	2177	0	2177	2177	2177	0	2177	2177	2177	0
j6015_1.2	873	873	873	0	873	873	873	0	873	873	873	0
j6015_1.3	1390	1390	1390	0	1390	1390	1390	0	1390	1390	1390	0
Average	1052.33	1073.01	1129.06	14.02	1018	1020.85	1039.08	7.18	1044.92	1068.59	1095.08	8.61

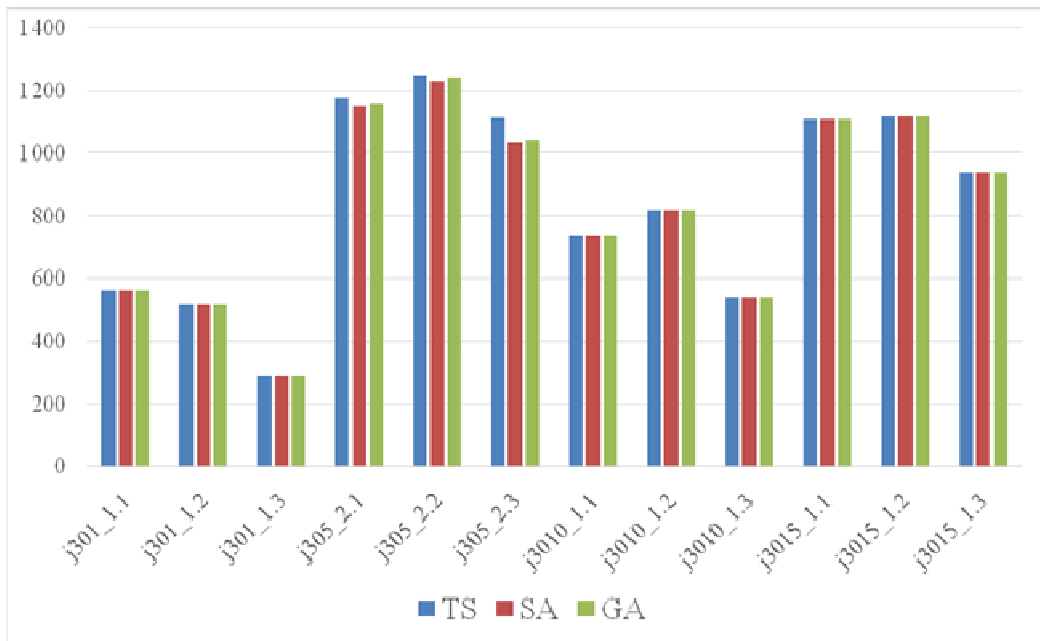


Figure 2. Comparison between performance of SA, TS and GA for J30

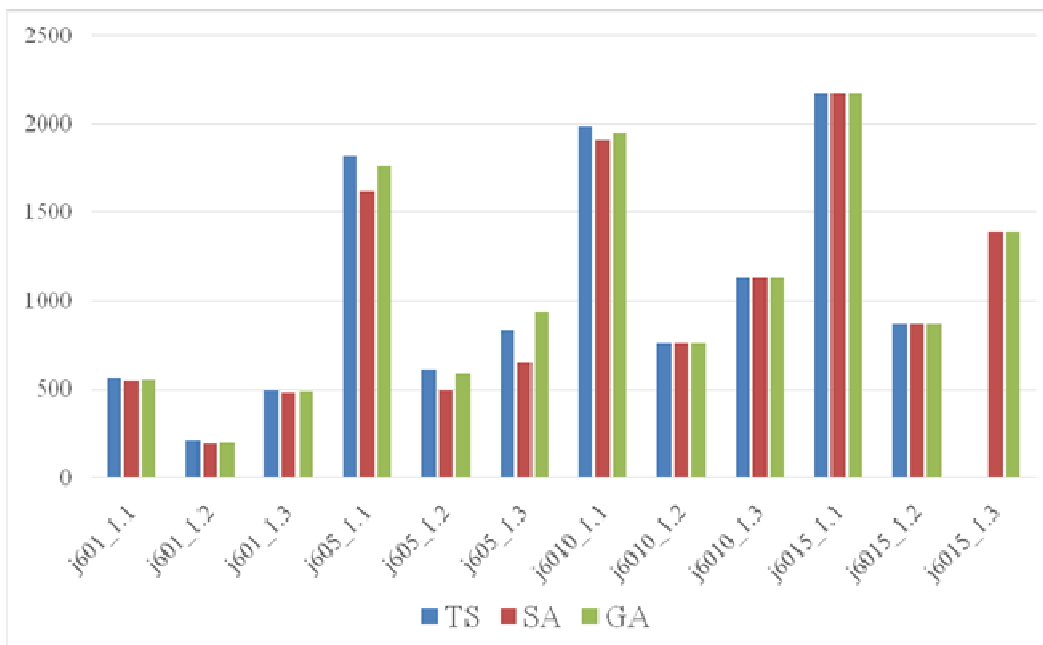


Figure 3. Comparison between performance of SA, TS and GA for J60

Table 5. Comparison between performance of exact and metaheuristic algorithms in relaxed state for J30

Name	Relax Solution (exact)	TS				SA				GA			
		Min	Avg.	Max	SDev	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev
j301_1.1	297	297	297	297	0	297	297	297	0	297	297	297	0
j301_1.2	215	215	215	215	0	215	215	215	0	215	215	215	0
j301_1.3	57	57	57	57	0	57	57	57	0	57	57	57	0
j305_2.1	400	400	400	400	0	400	400	400	0	400	400	400	0
j305_2.2	480	480	480	480	0	480	480	480	0	480	480	480	0
j305_2.3	181	181	181	181	0	181	181	181	0	181	181	181	0
j3010_1.1	666	666	666	666	0	666	666	666	0	666	666	666	0
j3010_1.2	726	726	726	726	0	726	726	726	0	726	726	726	0
j3010_1.3	445	445	445	445	0	445	445	445	0	445	445	445	0
j3015_1.1	1109	1109	1109	1109	0	1109	1109	1109	0	1109	1109	1109	0
j3015_1.2	1117	1117	1117	1117	0	1117	1117	1117	0	1117	1117	1117	0
j3015_1.3	938	938	938	938	0	938	938	938	0	938	938	938	0
Average	552.58	552.58	552.58	552.58	0	552.58	552.58	552.58	0	552.58	552.58	552.58	0

Table 6. Comparison between performance of exact and metaheuristic algorithms in relaxed state for J60

Name	Relax Solution (exact)	TS				SA				GA			
		Min	Avg.	Max	SDev	Min	Avg.	Max	SDev	Min	Avg.	Max	SDev
j601_1.1	433	433	433	433	0	433	433	433	0	433	433	433	0
j601_1.2	171	171	171	171	0	171	171	171	0	171	171	171	0
j601_1.3	486	486	486	486	0	486	486	486	0	486	486	486	0
j605_1.1	0	0	0	0	0	0	0	0	0	0	0	0	0
j605_1.2	0	0	0	0	0	0	0	0	0	0	0	0	0
j605_1.3	0	0	0	0	0	0	0	0	0	0	0	0	0
j6010_1.1	1903	1903	1903	1903	0	1903	1903	1903	0	1903	1903	1903	0
j6010_1.2	736	736	736	736	0	736	736	736	0	736	736	736	0
j6010_1.3	1133	1133	1133	1133	0	1133	1133	1133	0	1133	1133	1133	0
j6015_1.1	2177	2177	2177	2177	0	2177	2177	2177	0	2177	2177	2177	0
j6015_1.2	873	873	873	873	0	873	873	873	0	873	873	873	0
j6015_1.3	1390	1390	1390	1390	0	1390	1390	1390	0	1390	1390	1390	0
Average	775.17	775.17	775.17	775.17	0	775.17	775.17	775.17	0	775.17	775.17	775.17	0

3.3. Discussion

Table 3 shows that the average mean of the results obtained using the Simulated Annealing algorithm for j30 equals 836.75, which is better than the obtained results by TS and GA algorithms, which are equal to 846.63 and 838.47 respectively. Its improvement values equal 9.88 and 1.72 respectively. This result also holds true for the average minimum and average maximum. Table 4 shows that the average mean of the results obtained by using the Simulated Annealing algorithm for j60, equals 1020.85, which is better than the obtained

results by TS and GA algorithms, which are equal to 1073.01 and 1068.59, its improvement values equal 52.16 and 47.74 respectively. This result also holds true for the average minimum and average maximum. In addition, although by increasing the size of the problem and number of activities, the solution strength worsens than the state in which the number of activities was less. However, the Simulated Annealing algorithm in this case gives better answers than the TS and GA algorithms. Another important criterion for performance evaluation is standard deviation. As shown in table 3, the standard deviation average for the resulting answer from the TS and GA methods for j30 are 4.07 and 5.15 respectively. However, the standard deviation average for the resulting answer from our simulated annealing method equals zero. This result also holds true for j60. Therefore, the resulting answers of the Simulated Annealing is less scattered than the resulting answers of TS and GA algorithms, which is sign of the better accuracy of the later. As seen in Figure 2 and Figure 3, it is clear that the simulated annealing is more efficient than the TS and GA algorithms in both J30 and J60. In these figures, as the gap between SA and other methods gets larger, indicates that the SA is more reliable than the TS and GA methods. Since our problem is NP-HARD, therefore, we relaxed the "resource constraint" to achieve higher validation for the solution method and proposed metaheuristic algorithm. Afterwards, we solved the problem using both exact solution and metaheuristic algorithms. As you can see in Tables 5 and 6, all the answers and results are identical. In fact, the results of the resource constraint relaxation are lower bounds of the problem.

4. Conclusion

In this paper, we studied the problem of minimizing total resource tardiness penalty costs in the resource constrained project-scheduling problem with metaheuristic algorithms. We formulated and mathematically modeled this problem as an integer- Linear programming model. Since our problem was NP-hard, we used metaheuristic algorithm as a solution procedure. At first, we considered a small network for validation of both model and proposed metaheuristic algorithm in small scale, and solved the model of this network with both exact solution and SA-GA-TS metaheuristic algorithms, the result of which showed that all objective function values were similar. Then we used a Simulated Annealing metaheuristic algorithm for the proposed project-scheduling problem. In order to confirm performance of the proposed algorithm in larger scale and closer to real world, the algorithm was applied to various test problems available in the literature, and reliability was compared with the Tabu Search (TS) algorithm and Genetic algorithm (GA). Computational results showed that the proposed algorithm provided competitive results in comparison with the TS and GA algorithms. Then we used relaxation method for higher validation of the solution method and proposed SA metaheuristic algorithm. This means that we relaxed the resource constraint, and afterwards obtained the objective function values using both exact method and metaheuristic algorithms for J30 and J60. Results showed that all the objective function values were identical. Finally, it should be noted that all the results of this paper indicates that both Model and Solution method are appropriately chosen.

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